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18351
U.S. PTO

As modified by Blakely, Sokoloff, Taylor & Zafman LLP

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004085.P037
(maximum 12 characters)First Named Inventor Shoji SuzukiTitle: A MAGNETIC RECORDING DISK HAVING A TRANSITION ZONEExpress Mail Label No. EV336588255US17510 U.S. PTO
10/701059
11/03/03

ADDRESS TO: Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 44)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 19)**
5. **Oath or Declaration (Total Pages 3)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

11. b. Power of Attorney

12. English Translation Document (if applicable)

13. a. Information Disclosure Statement (IDS)/PTO-1449

14. b. Copies of IDS Citations

15. **Preliminary Amendment**

16. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

17. Certified Copy of Priority Document(s) (if foreign priority is claimed)

18. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17A. Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

17B. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)
 Of Prior Application No.: 10/382,635 Examiner Not yet assigned Group Art Unit 2652
 (which is a continuation/ divisional/ CIP of prior application no. _____,
 which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. Correspondence Address
 Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label
 here)
 Correspondence Address Below
 NAME Daniel E. Ovanezian
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
 ADDRESS 12400 Wilshire Boulevard
Seventh Floor
 CITY Los Angeles STATE California ZIP CODE 90025-1026
 Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): Daniel E. Ovanezian Registration No.: 41,236
 Signature: Daniel E. Ovanezian Date: 11/3/02

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 1860.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Shoji Suzuki

Examiner Name Not yet assigned

Art Unit Not yet assigned

Attorney Docket No. 004085.P037

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:
Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility application filing fee	<u>770.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>64</u>	<u>- 20** = 44</u>	<u>X 18.00</u>	<u>= 792.00</u>
Independent Claims <u>6</u>	<u>- 3** = 3</u>	<u>X 86.00</u>	<u>= 258.00</u>
Multiple Dependent		<u>=</u>	<u>=</u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
1202	18	2202 9
1201	86	2201 43
1203	290	2203 145
1204	86	2204 43
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple d p nd nt claim, if not paid
		**R issue independ nt claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 1,050.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1814	110	2814	55
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,330	1454	1,330
Other fee (specify)			40.00
Other fee (specify)			
			SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Daniel E. Ovanezian

Signature: Daniel E. Ovanezian Date: 11/3/03

Reg. Number: 41,236 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Shoji Suzuki
Title A MAGNETIC RECORDING DISK HAVING A TRANSITION ZONE

Attorney Docket No. 004085.P037

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/3/03

Date


SignatureDaniel E. Ovanezian

Typed or Printed Name

41,236

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

"Express Mail" mailing label number: EV336588255US

Date of Deposit: 1/10/03/03
I hereby certify that I am causing this paper or fee to
be deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service on
the date indicated above and that this paper or fee
has been addressed to Commissioner for Patents, PO Box 1450,
Alexandria, Virginia 22313-1450.

Jeffrey A. Briscoe

(Typed or printed name of person mailing paper or fee)

Jeffrey A. Briscoe
(Signature of person mailing paper or fee)

1/10/03/03
(Date signed)

Serial/Patent No.: KOMAG, INC. Filing/Issue Date: 11/3/03
Client: A MAGNETIC RECORDING DISK HAVING A TRANSITION ZONE
Title: DEO/Jb

BSTZ File No.: 004085. P037 Atty/Secty Initials: _____

Date Mailed: 11/3/03 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon

Express Mail EV336588255US Check No. 61044

Amendment/Response (____ pgs.) Month(s) Extension of Time _____ Amt: \$1,820

Appeal Brief (____ pgs.) (in triplicate) Information Disclosure Statement & PTO-1449 (2 pgs.) Check No. 60875

Application - Utility (____ pgs. with cover and abstract) Issue Fee Transmittal Notice of Appeal

Application - Rule 1.53(b) Continuation (____ pgs.) Petition for Extension of Time Petition for _____

Application - Rule 1.53(b) Divisional (____ pgs.) Postcard Power of Attorney (____ pgs.)

Application - Rule 1.53(b) CIP (44 pgs.) Preliminary Amendment (____ pgs.)

Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Reply Brief (____ pgs.)

Application - Design (____ pgs.) Response to Notice of Missing Parts

Application - PCT (____ pgs.) Small Entity Declaration for Indep. Inventor/Small Business

Application - Provisional (____ pgs.) Transmittal Letter, in duplicate

Assignment and Cover Sheet Fee Transmittal, in duplicate

Certificate of Mailing Declaration & PDA (3 pgs.)

Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)

Drawings: 19 # of sheets includes 20 figures

Other: Request & Certification under 35 USC 122 (b) (2) (B) (1)

Cited Art (8)